

THE GEOMETRY OF QUANTUM HALL EFFECT: AN EFFECTIVE ACTION FOR ALL DIMENSIONS

DIMITRA KARABALI

LEHMAN COLLEGE OF THE CUNY

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- (2+1) dim systems of (nonrelativistic) electrons in strong magnetic field
- Hall conductivity is quantized

$$J^i = \sigma_H \epsilon^{ij} E_j$$
$$\sigma_H = \nu \frac{e^2}{h}$$

where ν = filling fraction

$\nu = 1, 2, \dots$ for IQHE and $\nu = 1/3, 1/5, \dots$ for FQHE.

- Framework for interesting ideas
 - topological field theories (Chern-Simons effective actions)
 - bulk-edge dynamics
 - non-commutative geometries, fuzzy spaces

Charged particle moving on 2d plane (or S^2) in strong external magnetic field
(Landau problem)

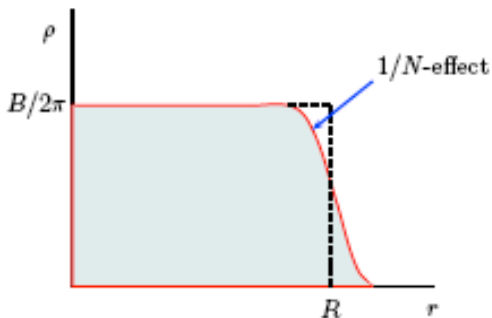
- Distinct Landau levels, separated by energy gap ($\sim B$)
- Each Landau level is degenerate
- Lowest Landau level (LLL) :

$$\psi_n \sim z^n e^{-|z|^2/2}$$

$$z = x + iy$$

Many-body problem \implies quantum Hall droplets

- Degeneracy of each LL is lifted by confining potential (for example:
 $V = \frac{1}{2}ur^2$)
- Exclusion principle \rightarrow N-body ground state = incompressible droplet
- Large $N \rightarrow$ sharp boundary



Low energy excitations of droplets \iff area preserving boundary fluctuations (edge excitations)



Edge dynamics is collectively described by 1d chiral boson ϕ (WEN, STONE,..)

$$S_{\text{edge}} = \int_{\partial D} \left(\partial_t \phi + u \partial_\theta \phi \right) \partial_\theta \phi, \quad u \sim \left. \frac{\partial V}{\partial r^2} \right]_{\text{boundary}}$$

In the presence of electromagnetic interactions

$$A_\mu = a_\mu + \delta A_\mu$$

Constant B
Perturbation

- The bulk dynamics is described by an effective action

$$S_{\text{bulk}} = S_{\text{CS}} = \frac{\nu}{4\pi} \int_D \epsilon_{\mu\nu\lambda} A_\mu \partial_\nu A_\lambda$$

S_{CS} is not gauge invariant in presence of boundaries.

- The edge dynamics is described by

$$S_{\text{edge}} \sim \text{gauged chiral action}$$

Anomaly cancellation between bulk and edge actions,

$$\delta S_{\text{bulk}} + \delta S_{\text{edge}} = 0$$

- The effective action S_{CS} captures the response of the system to electromagnetic fluctuations

$$\frac{\delta S_{CS}}{\delta A_0} = \rho = \frac{\nu}{2\pi}$$

$$\frac{\delta S_{CS}}{\delta A_i} = J^i = \frac{\nu}{2\pi} \epsilon^{ij} E_j$$

Coefficient in front of S_{CS} is related to Hall conductivity.

- What about other transport coefficients?
 - How does the system respond to stress and strain?
 - Calculate stress tensor \iff couple theory to gravity

$$S[\psi, \psi^\dagger; A_\mu, g_{ij}] = \int dt d^2x \sqrt{g} \left[i\hbar \psi^\dagger D_t \psi - \frac{\hbar^2}{2m} g^{ij} (D_i \psi)^\dagger (D_j \psi) \right]$$

$$\exp [iS_{eff}] = \int d\psi d\psi^\dagger \exp [iS[\psi, \psi^\dagger; A_\mu, g_{ij}]]$$

$$S_{eff} = \frac{1}{4\pi} \sum_{s=0}^{N-1} \int \left[[A + (s + \frac{1}{2})\omega] d[A + (s + \frac{1}{2})\omega] - \frac{1}{12} \omega d\omega \right] + \dots$$

$\omega =$ spin connection $s = 0 \rightarrow LLL$, $s = 1 \rightarrow$ 1st LL, \dots

$$\frac{\delta S_{eff}}{\delta \omega_0} \sim n_H = \text{Hall viscosity}$$

- QHE on S^4 (HU AND ZHANG)
(background magnetic field = $SU(2)$ "instanton")

Generalization to arbitrary even (spatial) dimensions

- QHE on $\mathbb{C}\mathbb{P}^k$ (WITH V.P. NAIR)

$$\mathbb{C}\mathbb{P}^k = \frac{SU(k+1)}{U(k)} \quad (2k \text{ dim space})$$

$U(k) \sim U(1) \times SU(k) \implies$ We can have both $U(1)$ and $SU(k)$ background magnetic fields

The action for \hat{U} is

$$S_0 = \int dt \text{Tr} \left[i\hat{\rho}_0 \hat{U}^\dagger \partial_t \hat{U} - \hat{\rho}_0 \hat{U}^\dagger \hat{V} \hat{U} \right]$$

which leads to the evolution equation for density matrix

$$i \frac{d\hat{\rho}}{dt} = [\hat{V}, \hat{\rho}]$$

S_0 has no explicit dependence on properties of space on which QHE is defined, abelian or nonabelian nature of fermions, etc.

S_0 = action of a noncommutative field theory

$$\begin{aligned} S_0 &= \int dt \operatorname{Tr} \left[i \hat{\rho}_0 \hat{U}^\dagger \partial_t \hat{U} - \hat{\rho}_0 \hat{U}^\dagger \hat{V} \hat{U} \right] \\ &= N \int d\mu dt \left[i(\rho_0 * U^\dagger * \partial_t U) - (\rho_0 * U^\dagger * V * U) \right] \end{aligned}$$

$$\underbrace{\hat{\rho}_0, \hat{U}, \hat{V}} \quad \Longrightarrow \quad \underbrace{\rho_0(\vec{x}), U(\vec{x}, t), V(\vec{x})}$$

$(N \times N)$ matrices

symbols

- $O(\vec{x}, t) = \frac{1}{N} \sum_{m,l} \Psi_m(\vec{x}) \hat{O}_{ml}(t) \Psi_l^*(\vec{x})$
- Matrix multiplication \Longrightarrow * product of symbols
- $\operatorname{Tr} \Longrightarrow N \int d\mu$

S_0 = exact bosonic action describing the dynamics of LL fermions

SAKITA: 2 dim. context

DAS, DHAR, MANDAL, WADIA,...

Extend this to include fluctuating gauge fields by **gauging** S_0

$$\partial_t \rightarrow \hat{D}_t = \partial_t + i\hat{\mathcal{A}}$$

$$S = \int dt \text{Tr} \left[i\hat{\rho}_0 \hat{U}^\dagger \hat{\partial}_t \hat{U} - \hat{\rho}_0 \hat{U}^\dagger \hat{V} \hat{U} - \underbrace{\hat{\rho}_0 \hat{U}^\dagger \hat{\mathcal{A}} \hat{U}}_{\text{gauge interactions}} \right]$$

In terms of bosonic fields

$$S = N \int dt d\mu \text{tr} \left[i\rho_0 * U^\dagger * \partial_t U - \rho_0 * U^\dagger * (V + \mathcal{A}) * U \right]$$

QUESTION: How is \mathcal{A} related to the gauge fields coupled to the original fermions?

Invariance under $U(N)$ rotations $\delta \hat{U} = -i\hat{\lambda} \hat{U}$ implies that S is invariant under

$$\delta U = -i\lambda * U \quad (1)$$

$$\delta \mathcal{A}(\vec{x}, t) = \partial_t \lambda(\vec{x}, t) - i(\lambda * (V + \mathcal{A}) - (V + \mathcal{A}) * \lambda)$$

Since S describes gauge interactions it has to be invariant under usual gauge transformations

$$\delta A_\mu = \partial_\mu \Lambda + i[\bar{A}_\mu + A_\mu, \Lambda], \quad \delta \bar{A}_\mu = 0 \quad (2)$$

Background

Perturbation

we should choose

$$\mathcal{A} = \text{function}(A_\mu, \bar{A}_\mu, V)$$

$$\lambda = \text{function}(\Lambda, A_\mu, \bar{A}_\mu)$$

such that the gauge transformation (2) induces $\delta \mathcal{A}$ in (1) (generalized Seiberg-Witten map)

Group theoretic analysis:

$$\mathbb{C}\mathbb{P}^k = \frac{SU(k+1)}{U(k)} \quad (2k \text{ dim space})$$

- $U(k) \sim U(1) \times SU(k) \implies$ We can have both $U(1)$ and $SU(k)$ background magnetic fields
- Landau wavefunctions are functions on $SU(k+1)$ with particular transformation properties under $U(k)$.
- Each Landau level forms an irreducible $SU(k+1)$ representation, whose degeneracy is easy to calculate.

Wavefunctions are functions on $SU(k+1)$; expressed in terms of Wigner \mathcal{D} functions

$$\Psi \sim \mathcal{D}_{L,R}^{(J)}(g) = \langle L | \hat{g} | R \rangle$$

quantum numbers of states in J rep.

Right/left transformations: $\hat{R}_A \hat{g} = \hat{g} T_A$, $\hat{L}_A \hat{g} = T_A \hat{g}$

- $\hat{R}_a, \hat{R}_{k^2+2k} \rightarrow$ gauge transformations ($U(k)$)
- $\hat{R}_{+i}, \hat{R}_{-i} \rightarrow$ covariant derivatives ($i = 1, \dots, k$) $[\hat{R}_{+i}, \hat{R}_{-j}] \in U(k)$
- $\hat{L}_A \rightarrow$ magnetic translations ($A \in SU(k+1)$)
- How Ψ transforms under $U(k)_R$ depends on choice of background fields
- Choose "constant" $U(1)$ or $U(k)$ background magnetic fields.

$$\bar{F} = d\bar{a} = n\Omega, \quad \Omega = \text{Kahler 2-form}$$

(Field strengths $\sim U(k)$ structure constants in tangent frame basis \sim Riemannian curvature)

$$\Psi_{m;\alpha}^J \sim \langle m | \hat{g} | \underbrace{R} \rangle$$

\downarrow
 particular $SU(k)_R$ repr. \tilde{J} with fixed $U(1)_R$ charge $\sim n$

$m = 1, \dots, \dim J \implies$ counts degeneracy of LL

$\alpha =$ internal gauge index $= 1, \dots, N' = \dim \tilde{J}$

- Hamiltonian

$$H = \frac{1}{2Mr^2} \sum_{i=1}^k \hat{R}_{+i} \hat{R}_{-i} + \text{constant}$$

Lowest Landau level: $\hat{R}_{-i} \Psi = 0$ Holomorphicity condition

($|R\rangle$ is lowest weight state)

- We know single particle spectrum \rightarrow calculate symbols, star products
- Calculate S_0 for large N, K with $N \gg K \gg 1$ ($n \rightarrow \infty$ limit)

$$S_0 = N \int d\mu dt [i(\rho_0 * U^\dagger * \partial_t U) - (\rho_0 * U^\dagger * V * U)]$$

A. Abelian background magnetic field $U(1)$

- symbol of $\hat{X} \rightarrow$ function X
- $X * Y = XY + \frac{1}{n}(g^{ij} + \frac{i}{2}(\Omega^{-1})^{ij})\partial_i X \partial_j Y + \dots$
- $([\hat{X}, \hat{Y}])_{symbol} \rightarrow \frac{i}{n}\{X(\vec{x}, t), Y(\vec{x}, t)\}_{PB} = \frac{i}{n}(\Omega^{-1})^{ij} \partial_i X(\vec{x}, t) \partial_j Y(\vec{x}, t)$
- $\rho_0 \rightarrow \Theta(R_D^2 - r^2), \quad R_D = \text{droplet radius}$

$$S_0 \sim \int_{\partial D} (\partial_t \phi + u \mathcal{L} \phi) \mathcal{L} \phi$$

This is a $(2k - 1)$ (space) dimensional chiral action defined on the droplet boundary, with

$$\mathcal{L} \phi = (\Omega^{-1})^{ij} \hat{r}_j \partial_i \phi, \quad \mathcal{L} = \begin{cases} \text{derivative along boundary of droplet} \\ \rightarrow \partial_\theta \text{ in 2 dim.} \end{cases}$$

B. Nonabelian background magnetic field $U(k)$

- Symbol of a matrix = $(N' \times N')$ matrix valued function

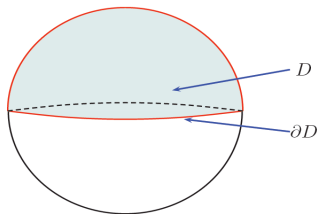
$$\hat{X} \implies X_{\alpha\beta}(\vec{x}, t) = \frac{1}{N} \sum_{m,l} \Psi_{m;\alpha}(\vec{x}) X_{ml}(t) \Psi_{l;\beta}^*(\vec{x})$$

$$\alpha, \beta = 1, \dots, N'$$

Bosonic action can be written in terms of $G \in U(N')$

$$\begin{aligned}
 S_0 &= \frac{1}{4\pi} \int_{\partial D} \text{tr} \left[\left(G^\dagger \dot{G} + u G^\dagger \mathcal{L} G \right) G^\dagger \mathcal{L} G \right] \\
 &\quad + \frac{1}{4\pi} \int_D \text{tr} \left[-d \left(i \bar{A} d G G^\dagger + i \bar{A} G^\dagger d G \right) + \underbrace{\frac{1}{3} (G^\dagger d G)^3}_{\text{WZW-term in } 2k + 1 \text{ dim}} \right] \left(\frac{\Omega}{2\pi} \right)^{k-1} \frac{1}{(k-1)!} \\
 &\equiv S_{\text{WZW}}(A^L = A^R = \bar{A})
 \end{aligned}$$

$\mathcal{L} = (\Omega^{-1})^{ij} \hat{r}_j D_i =$ **covariant** derivative along the boundary of droplet



- In the presence of gauge fluctuations (DK)

$$\begin{aligned}
 S &= N \int dt d\mu \operatorname{tr} [i\rho_0 * U^\dagger * \partial_t U - \rho_0 * U^\dagger * (V + \mathcal{A}) * U] \\
 &= S_{\text{edge}} + S_{\text{bulk}}
 \end{aligned}$$

$$S_{\text{edge}} \sim S_{\text{WZW}}(A^L = A + \bar{A}, A^R = \bar{A}) = \text{Chirally gauged WZW action in } 2k \text{ dim}$$

$$S_{\text{bulk}} \sim S_{\text{CS}}^{2k+1}(\tilde{A}) + \dots = (2k + 1) \text{ dim CS action}$$

Here $\tilde{A} = (A_0 + V, \bar{a}_i + \bar{A}_i + A_i) = \text{background} + \text{fluctuations}$

- Gauge Invariance \implies Anomaly Cancellation

$$\delta S_{\text{edge}} \neq 0, \quad \delta S_{\text{bulk}} \neq 0$$

$$\delta S_{\text{edge}} + \delta S_{\text{bulk}} = 0$$

- Universal matrix action describing dynamics within each Landau level
- single-particle spectrum + large N limit \implies
(bulk + edge) effective actions
- gauge invariance is automatically built in
- **Questions:**
 - How important is precise knowledge of single particle spectrum?
 - Can we deviate from $\mathbb{C}P^k$?
 - How do we introduce metric perturbations?

- The lowest Landau level obeys the holomorphicity condition

$$\hat{R}_{-i}\Psi = 0$$

- The number of normalizable solutions is given by the **Dolbeault index**.
- The Dolbeault index is given by

$$\text{Index}(\bar{\partial}_V) = \int_M \text{td}(T_C M) \wedge \text{ch}(V)$$

$\text{td}(T_C M)$ = Todd class (for complex tangent space) = given in terms of traces of curvatures

$\text{ch}(V)$ = Chern character = $\text{Tr}(e^{iF/2\pi})$

- For a fully filled LLL (each particle carries $e = 1$):
degeneracy = charge \implies index density = charge density
- So we can use

$$\frac{\delta S_{eff}}{\delta A_0} = J_0 = \text{Dolbeault Index Density}$$

and integrate up to get S_{eff} .

- In 2+1 dimensions

$$\text{Index}(\bar{\partial}_V) = \int_M \frac{iF}{2\pi} + \frac{iR}{4\pi}$$

- Consider QHE on $\mathbb{C}\mathbb{P}^1 = SU(2)/U(1) \sim S^2$

The background values for the curvatures are

$$\bar{F} = -in\Omega, \quad \bar{R}|_{TMK} = -i2\Omega, \quad \Omega = i \left[\frac{dzd\bar{z}}{1+z\bar{z}} - \frac{\bar{z}dz \cdot z \cdot d\bar{z}}{(1+z\bar{z})^2} \right]$$

degeneracy of LLL = $\text{Index}(\bar{\partial}_V) = n + 1$ as expected HALDANE

- Charge density including fluctuations

$$J_0 = \frac{iF}{2\pi} + \frac{iR}{4\pi}$$

- This leads to the effective action

$$S_{3d}^{LLL} = \frac{i^2}{4\pi} \int A [F + R] + S_{\text{grav}} + \dots$$

- For higher Landau levels there is no holomorphicity condition, Dolbeault index is problematic
- The wave functions in the s -th LL for $\mathbb{C}\mathbb{P}^1 = SU(2)/U(1) \sim S^2$ are

$$\Psi_m(g) \sim \langle J, m | g | J, -n/2 \rangle, \quad J = n/2 + s$$

They satisfy $R_3 \Psi = -n/2 \Psi$, but do not satisfy holomorphicity $R_- \Psi \neq 0$

- The lowest weight state in the same representation

$$\tilde{\Psi}_m(g) \sim \langle J, m | g | J, -n/2 - s \rangle$$

which satisfy the holomorphicity condition $R_- \tilde{\Psi} = 0 \implies$ LLL

- It couples to a $U(1)$ background field

$$\bar{\mathcal{F}} = -i(n + 2s)\Omega = \bar{F} + s\bar{R} = \bar{F} + \bar{\mathcal{R}}_s$$

- So we have a mapping

Particle with spin-0 in s -th LL \implies Particle with spin- s in LLL

- counting of states same \implies use Dolbeault index for degeneracy
- Chern character : $\text{Tr} (e^{iF/2\pi}) \rightarrow \text{Tr} (e^{i(\mathcal{R}_s+F)/2\pi})$

- For s -th Landau level on $\mathbb{C}\mathbb{P}^1 = SU(2)/U(1) \sim S^2$ we find

$$\text{Index}(\bar{\partial}_V) = \int \left[\frac{iF}{2\pi} + (s + \frac{1}{2}) \frac{iR}{2\pi} \right]$$

- S_{eff} can be determined from this

$$S_{3d}^{(s)} = \frac{i^2}{4\pi} \int A [F + (2s + 1)R] + S_{\text{grav}} + \dots$$

- The purely gravitational term can be obtained from the gravitational part of the index density in $2k + 2$ dim following the descent approach

$$[\text{Index Density}]_{2k+2} = d[\dots]$$

- Derive the full topological effective action

$$S_{3d}^{(s)} = \frac{i^2}{4\pi} \int \left\{ \left[A + (s + \frac{1}{2}) \omega \right] d \left[A + (s + \frac{1}{2}) \omega \right] - \frac{1}{12} \omega d\omega \right\}$$

This agrees with [ABANOV, GROMOV; KLETSOV, MA, MARINESCU, WIEGMANN; BRADLYN, READ; CAN, LASKIN, WIEGMANN](#)

- In $2k + 1$ dimensions (fluctuations around $\mathbb{C}\mathbb{P}^k = SU(k + 1)/U(k)$ background fields)
 - We have curvatures in the algebra of $U(k)$

$$R = -i[R^0\mathbf{1} + \tilde{R}^a t_a], \quad R^0 = d\omega^0, \quad \tilde{R} = d\tilde{\omega} + \tilde{\omega} \wedge \tilde{\omega}$$

- Abelian and/or nonabelian gauge fields
- Nonzero spin to include higher Landau levels

$$\mathcal{R}_s = -i[sR^0\mathbf{1} + \tilde{R}^a T_a]$$

- The index theorem is now

$$\text{Index}(\bar{\partial}_V) = \int_M \text{td}(T_c M) \wedge \text{Tr} \left(e^{i(\mathcal{R}_s + F)/2\pi} \right)$$

The full topological effective action is

$$S_{2k+1}^{(s)} = \int \left[\text{td}(T_c K) \wedge \sum_p (CS)_{2p+1}(\omega_s + A) \right]_{2k+1} + 2\pi \int \Omega_{2k+1}^{\text{grav}}$$

where

$$[\text{td}(T_c K) \wedge \text{ch}(S)]_{2k+2} = d \Omega_{2k+1}^{\text{grav}} + \frac{1}{2\pi} d \left[\text{td}(T_c K) \wedge \sum_p (CS)_{2p+1}(\omega_s) \right]_{2k+1}$$

Gives correct expressions for degeneracies in all cases we know QHE wavefunctions: $\mathbb{C}\mathbb{P}^k$ (abelian and nonabelian gauge fields), $S^2 \times S^2$, etc

- 2+1 dim, s -th Landau level

$$S_{3d}^{(s)} = \frac{i^2}{4\pi} \int \left\{ \left[A + \left(s + \frac{1}{2} \right) \omega \right] d \left[A + \left(s + \frac{1}{2} \right) \omega \right] - \frac{1}{12} \omega d\omega \right\}$$

- 4+1 dim, s -th Landau level

$\mathbb{CP}^2 = SU(3)/U(2)$; $U(1)$ gauge field; $U(2)$ spin connection and curvature

$$S_{5d}^{(s)} = \frac{i^3(s+1)}{(2\pi)^2} \int \left\{ \frac{1}{3!} \left(A + (s+1)\omega^0 \right) \left[d \left(A + (s+1)\omega^0 \right) \right]^2 - \frac{1}{12} \left(A + (s+1)\omega^0 \right) \left[(d\omega^0)^2 - \left[((s+1)^2 - \frac{3}{2}) \text{Tr}(\tilde{R} \wedge \tilde{R}) \right] \right] \right\}$$

- 6+1 dim, lowest Landau level

$\mathbb{C}\mathbb{P}^3 = SU(4)/U(3)$; $U(1)$ gauge field; $U(3)$ curvature

$$\begin{aligned}
 S_{7d}^{\text{LLL}} = & \frac{1}{(2\pi)^3} \int \left\{ \frac{1}{4!} \left(A + \frac{3}{2} \omega^0 \right) \left[d \left(A + \frac{3}{2} \omega^0 \right) \right]^3 \right. \\
 & - \frac{1}{16} \left(A + \frac{3}{2} \omega^0 \right) d \left(A + \frac{3}{2} \omega^0 \right) \left[(d\omega^0)^2 + \frac{1}{3} \text{Tr}(\tilde{R} \wedge \tilde{R}) \right] \\
 & \left. + \frac{1}{1920} \omega^0 d\omega^0 \left[17(d\omega^0)^2 + 14 \text{Tr}(\tilde{R} \wedge \tilde{R}) \right] + \frac{1}{720} \omega^0 \text{Tr}(\tilde{R} \wedge \tilde{R} \wedge \tilde{R}) \right\} \\
 & + \frac{1}{120} \int (CS)_7(\tilde{\omega})
 \end{aligned}$$

- Extend QHE to higher dimensions; physical realizations of fuzzy spaces
- Universal matrix action \rightarrow noncommutative field theory description of LL dynamics
- At large N limit action describes dynamics of abelian/nonabelian quantum Hall droplets with gauge fluctuations
- anomaly free bulk/edge dynamics
- Use index theorems to introduce metric perturbations
- Applications to fluids and higher dim transport coefficients