

\mathcal{R} -parity violation in \mathcal{F} -theory

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\mathcal{R} -Part:

\mathcal{R} -Parity, RPV-MSSM, Proton Decay, Motivation

\mathcal{F} -Part:

\mathcal{F} -theory, $SO(12)$ point, Plots & Numerics, Summary

M. C. Romão, A.K. S. F. King, G.K. Leontaris, A. K. Meadowcroft:
[10.1007/JHEP11\(2016\)081](https://arxiv.org/abs/10.1007/JHEP11(2016)081)

Intro & Motivation

\mathcal{R} -parity

★ MSSM $-\mathcal{R}$ parity violation (RPV or \mathcal{R}) superpotential:

$$W_{RPV} = \underbrace{\mu_i H_u L_i + \frac{1}{2} \lambda_{ijk} L_i L_j e_k^c + \lambda'_{ijk} L_i Q_j d_k^c}_{\text{L violation}} + \overbrace{\frac{1}{2} \lambda''_{ijk} u_i^c d_j^c d_k^c}^{\text{B violation}}$$

★ Add a new discrete symmetry to eliminate these terms, called " \mathcal{R} -parity"

(Farrat & Fayet, Phys. Lett. 76B (1978) 575–579.)

$$P_R = (-1)^{3(B-L)+2s}$$

- $P_R = +1$ for Standard Model (SM) particles.
- $P_R = -1$ for SUSY particles.

Matter parity

$$W_{RPV} = \underbrace{\mu_i H_u L_i + \frac{1}{2} \lambda_{ijk} L_i L_j e_k^c + \lambda'_{ijk} L_i Q_j d_k^c}_{\text{L violation}} + \overbrace{\frac{1}{2} \lambda''_{ijk} u_i^c d_j^c d_k^c}^{\text{B violation}}$$

★ An alternative symmetry with the same physical results is "Matter parity" :

- $(L_i, e_i^c, Q_i, u_i^c, d_i^c) \rightarrow P_M = -1$
- $(H_u, H_d) \rightarrow P_M = +1$

★ **This forbids all terms with an odd power of matter fields and thus forbids all the terms in W_{RPV} .**

RPV-SUSY

★ Plethora of new couplings (=48), provide a rich phenomenology :

- Single s-particle production is allowed.
- LSP is unstable (decays to leptons or jets)

(H. Dreiner et al: 1205.0557, Review: R.Barbier et al hep-ph/0406039, LHC-Run I Review: A. Redelbach, arXiv:1512.05956)

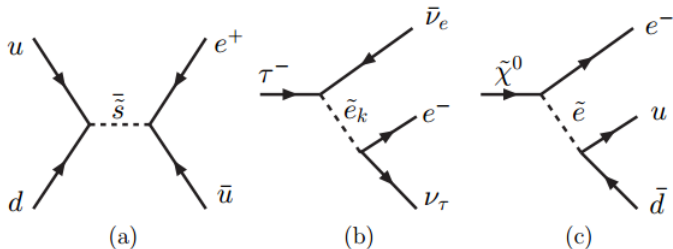


Figure : Examples of RPV processes: (a) Proton Decay via λ''_{112} and λ'_{112} , (b) Tau decay via two λ_{13k} insertions, (c) Neutralino decay via λ'_{111} .

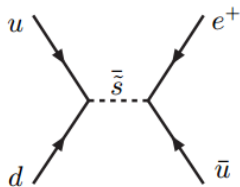
Proton decay

★ Proton decay (PD) requires **both** \cancel{L} and \cancel{B} .

- $\Gamma(p \rightarrow \pi^0 e^+) \sim$

$$|\lambda'_{112} \lambda''_{112}|^2 \frac{m_{proton}^5}{\tilde{m}_{sR}^4} < \frac{1}{10^{33} yr} \Rightarrow$$

$$|\lambda'_{112} \lambda''_{112}| < 5 \times 10^{-27} \left(\frac{\tilde{m}_{sR}}{1 TeV} \right)^2.$$



★ Very strict bound \mapsto at least one of the couplings is zero.

- Only **B** conservation $\mapsto \cancel{L}MSSM$

- Only **L** conservation $\mapsto \cancel{B}MSSM$

(Dimopoulos et al, doi:10.1016/0370-2693(88)91418-9)

★ **Baryon-parity and lepton-parity are two possible solutions to maintain a stable proton and allow for RPV.**

Example \mapsto

Motivation

(AK, S.F.King, G.K.Leontaris, A.K.Meadowcroft, *doi* : 10.1007/JHEP10(2015)041)

Low Energy Spectrum	D_4 rep	$U(1)_{t_5}$	Z_2
Q_3, u_3^c, e_3^c	1_{+-}	0	-
u_2^c	1_{++}	1	+
u_1^c	1_{++}	0	+
$Q_{1,2}, e_{1,2}^c$	2	0	-
L_i, d_i^c	1_{+-}	0	-
ν_3^c	1_{+-}	0	-
$\nu_{1,2}^c$	2	0	-
H_u	1_{++}	0	+
H_d	1_{++}	-1	+

Table : Low energy spectrum of a $SU(5) \times D_4 \times U(1)$ F-theory inspired model with a geometric parity. The fields $u_{1,2}^c$ have different assignment in comparison with the conventional matter parity. As a result β terms: $u_1^c d_j^c d_k^c \mapsto$ **neutron-antineutron oscillations** (Goity & Sher)

RPV in F-theory?

- ★ So far in F-theory...plethora of works on $SU(5)$ Yukawa couplings
(Vafa et al, Ibanez & Font, Hayashi et al, Leontaris & Ross, Palti et al, Marchesano et al....)

$$10 \times 10 \times 5_H \rightarrow y_{top} \quad \checkmark$$

$$10 \times \bar{5}_M \times \bar{5}_H \rightarrow y_{bottom}, \quad y_{tau} \quad \checkmark$$

- ★ What about **RPV couplings...**

$$10 \times \bar{5}_M \times \bar{5}'_M \rightarrow y_{RPV} \quad \dots?$$

- ★ **A first estimation:** *we expect a similar behavior to y_{bottom} coupling .*

\mathcal{F} -theory, the $SO(12)$ point and RPV

M. C. Romão, AK, S. F. King, G.K. Leontaris, A. K. Meadowcroft: [10.1007/JHEP11\(2016\)081](https://arxiv.org/abs/10.1007/JHEP11(2016)081)

\mathcal{F} -theory (Basic)

★ Geometrisation of Type II-B superstring

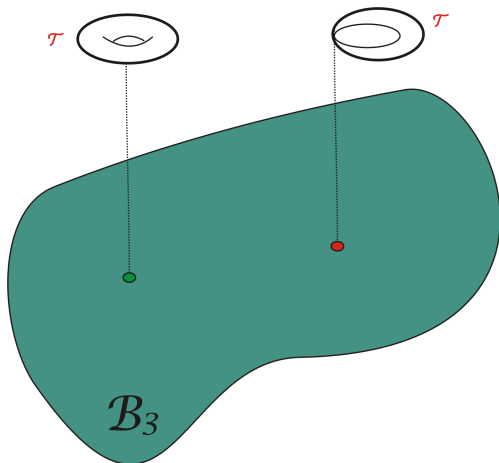
(Vafa 1996)

II-B: closed string spectrum obtained by combining left and right moving open strings with NS and R-boundary conditions.

★ Geometrical Picture:

- Take the 6-d compact space to be CY 3-fold base B_3 .
- Associate a torus $\tau = C_0 + i/g_s$ at each point of B_3 .
 \implies Internal space elliptically fibered **CY** 4-fold \mathcal{X} over B_3

\leftrightarrow \mathcal{F} -theory defined on the background $\mathcal{R}^{3,1} \times \mathcal{X}$ \leftrightarrow



Red points: pinched torus \mapsto 7-branes $\perp B_3$.

Singularities

★ **Fibration** is described by the Weierstraß Equation

$$y^2 = x^3 + f(z)x + g(z) \quad (1)$$

x, y parameters of the fibration.

$f(z), g(z) \mapsto$ 8 & 12 degree polynomials in z .

★★ For each point of B_3 , eq(1) describes a **torus** labeled by z .

★★★ The fiber **degenerates** at the **zeros** of the discriminant

$$\Delta = 4f^3 + 27g^2$$



$\Delta = 0 \implies$ singularity of internal manifold

Singularities & Gauge Symmetry

★ Type of Manifold **singularity** is specified by the vanishing order of $f(\mathbf{z})$, $g(\mathbf{z})$ polynomials

★★ Singularities are classified in terms of ADE Lie groups.

(Kodaira 1968)

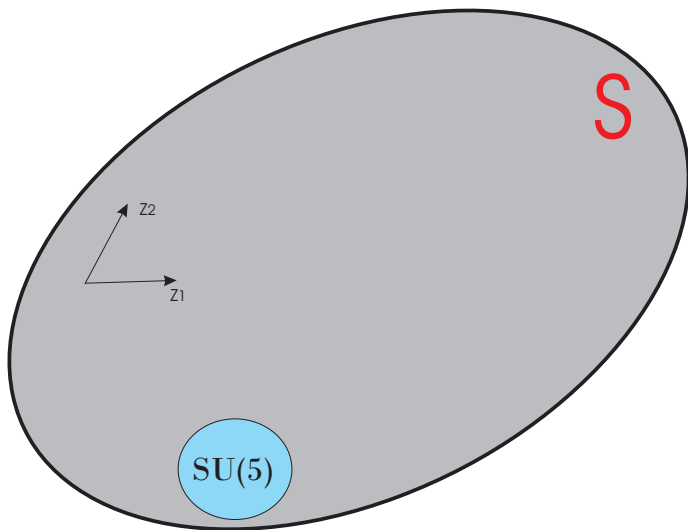
$$\mathcal{X}\text{-Singularities} \leftrightarrow \text{Gauge Symmetry}$$

★★★ The maximum symmetry enhancement is E_8 ,

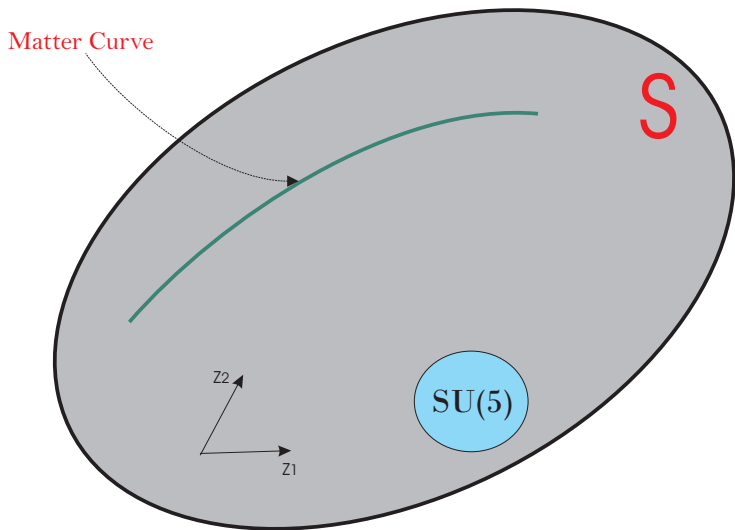
$$E_8 \rightarrow \mathcal{G}_{GUT} \times SU(n)_\perp$$

with $\mathcal{G}_{GUT} = E_6, SO(10), SU(5)$ for $n = 3, 4, 5$.

★ in F-theory: 7-branes wrap certain class of 'internal' 2-complex dim. surface \mathbf{S} associated to gauge group \mathcal{G}_S (here taken to be $SU(5)$)



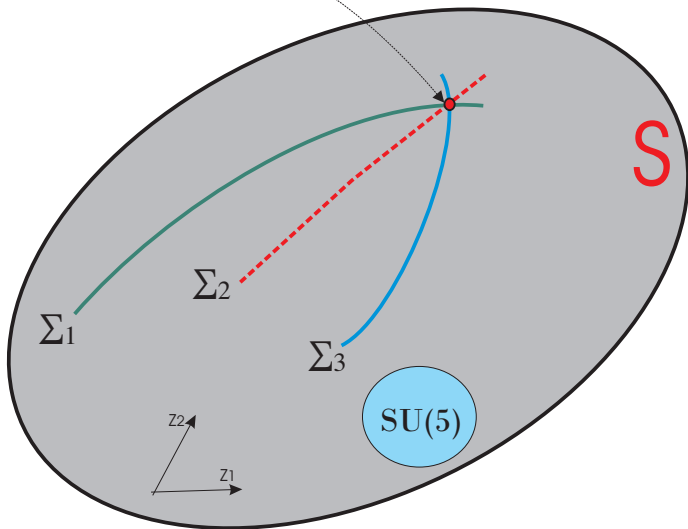
Matter resides along intersections with other 7-branes...



Along a matter curve Σ gauge symmetry is enhanced...

Yukawa couplings at Triple intersections...

Yukawa Coupling



gauge symmetry ... further ... **enhanced!**

SU(5) :Singularity enhancement

★ Matter curves accommodating $\bar{\mathbf{5}}$ are associated with $SU(6)$

$$\begin{aligned}\Sigma_{\bar{\mathbf{5}}} = S \cap S_{\bar{\mathbf{5}}} &\Rightarrow & SU(5) &\rightarrow SU(6) \\ \text{ad}_{SU_6} = \mathbf{35} &\rightarrow & 24_0 + 1_0 + \mathbf{5}_6 + \bar{\mathbf{5}}_{-6}\end{aligned}$$

★ Matter curves accommodating $\mathbf{10}$ are associated with $SO(10)$

$$\begin{aligned}\Sigma_{\mathbf{10}} = S \cap S_{\mathbf{10}} &\Rightarrow & SU(5) &\rightarrow SO(10) \\ \text{ad}_{SO_{10}} = \mathbf{45} &\rightarrow & 24_0 + 1_0 + \mathbf{10}_4 + \bar{\mathbf{10}}_{-4}\end{aligned}$$

★ Further enhancement in **triple** intersections \rightarrow **Yukawas**:

$$\begin{aligned}SO(10) \equiv E_5 &\Rightarrow & E_6 &\rightarrow \mathbf{10} \times \mathbf{10} \times \mathbf{5} \\ SU(6) &\Rightarrow & SO(12) &\rightarrow \mathbf{10} \times \bar{\mathbf{5}} \times \bar{\mathbf{5}}\end{aligned}$$

\Rightarrow **RPV couplings** \rightarrow **SO(12) point enhancement**

Effective theory

★ The 4-d theory can be obtained by integrating out the 8-d theory over S

$$W = m_*^4 \int_S \text{Tr}(F \wedge \Phi)$$

- $F = dA - iA \wedge A$ is the field-strength of the gauge vector boson A .
- Φ is $(2,0)$ -form on S .
- m_* : F -theory characteristic scale

★★ Away from the enh. point Φ breaks $SO(12) \rightarrow$ GUT group $SU(5)$:

$$SO(12) \rightarrow SU(5) \times U(1) \times U(1)$$

Fluxes

★ We also need fluxes

- $\langle F \rangle \rightarrow$ chirality on the matter curves
- $\langle F_Y \rangle \rightarrow$ breaks the GUT down to SM

★★ Collectively the total flux is:

$$\begin{aligned}\langle F_{total} \rangle = & i(dz_2 \wedge d\bar{z}_2 - dz_1 \wedge d\bar{z}_1)Q_P \\ & + i(dz_1 \wedge d\bar{z}_2 + dz_2 \wedge d\bar{z}_1)Q_S \\ & + i(dz_2 \wedge d\bar{z}_2 + dz_1 \wedge d\bar{z}_1)M_{z_1 z_2} Q_F\end{aligned}\quad (2)$$

with the definitions

$$Q_P = M Q_F + \tilde{N}_Y Q_Y \quad (3)$$

$$Q_S = N_a Q_{z_1} + N_b Q_{z_2} + N_Y Q_Y \quad (4)$$

Sector	SM	q_F	q_{z_1}	q_{z_2}	q_S	q_P
a_1	$(\bar{\mathbf{3}}, \mathbf{1})_{-\frac{1}{3}}$	1	-1	0	$-N_a - \frac{1}{3}N_Y$	$M - \frac{1}{3}\tilde{N}_Y$
a_2	$(\mathbf{1}, \mathbf{2})_{\frac{1}{2}}$	1	-1	0	$-N_a + \frac{1}{2}N_Y$	$M + \frac{1}{2}\tilde{N}_Y$
b_1	$(\bar{\mathbf{3}}, \mathbf{1})_{\frac{2}{3}}$	-1	0	1	$N_b + \frac{2}{3}N_Y$	$-M + \frac{2}{3}\tilde{N}_Y$
b_2	$(\mathbf{3}, \mathbf{2})_{-\frac{1}{6}}$	-1	0	1	$N_b - \frac{1}{6}N_Y$	$-M - \frac{1}{6}\tilde{N}_Y$
b_3	$(\mathbf{1}, \mathbf{1})_{-1}$	-1	0	1	$N_b - N_Y$	$-M - \tilde{N}_Y$
c_1	$(\bar{\mathbf{3}}, \mathbf{1})_{-\frac{1}{3}}$	0	1	-1	$N_a - N_b - \frac{1}{3}N_Y$	$-\frac{1}{3}\tilde{N}_Y$
c_2	$(\mathbf{1}, \mathbf{2})_{\frac{1}{2}}$	0	1	-1	$N_a - N_b + \frac{1}{2}N_Y$	$\frac{1}{2}\tilde{N}_Y$

Table : Complete data of sectors present in the three curves crossing in an $SO(12)$ enhancement point considering the effects of non-vanishing fluxes.

Coupling coefficients

★ Matter fields arise as fluctuations of the 8-dim fields

$$\Psi_{8D} = \phi_{4D} \times \psi_{int}$$

★★ Operator coefficients arise as overlaps of wavefunctions

$$\int_{8D} \Psi_1 \Psi_2 \Psi_3 = \int_{4D} \phi_1 \phi_2 \phi_3 \left(\int_S \psi_1 \psi_2 \psi_3 \right)$$

★★★ Solve the eom for the zero mode wavefunctions

(Font et al, 2012)

(Heckman et al, 2008)

Wavefunctions

★ Wavefunctions (WF) in holomorphic gauge:

$$\vec{\psi}_{10_M}^{(b)hol} = \vec{v}^{(b)} \chi_{10_M}^{(b)hol} = \vec{v}^{(b)} \kappa_{10_M}^{(b)} e^{\lambda_b z_2 (\bar{z}_2 - \zeta_b \bar{z}_1)}$$

$$\vec{\psi}_{5_M}^{(a)hol} = \vec{v}^{(a)} \chi_{5_M}^{(a)hol} = \vec{v}^{(a)} \kappa_{5_M}^{(a)} e^{\lambda_a z_1 (\bar{z}_1 - \zeta_a \bar{z}_2)}$$

$$\vec{\psi}_{5_H}^{(c)hol} = \vec{v}^{(c)} \chi_{5_H}^{(c)hol} = \vec{v}^{(c)} \kappa_{5_H}^{(c)} e^{(z_1 - z_2)(\zeta_c \bar{z}_1 - (\lambda_c - \zeta_c) \bar{z}_2)}$$

$$\vec{\psi}_{5_M}^{(c)hol} = \vec{v}^{(c)} \chi_{5_M}^{(c)hol} = \vec{v}^{(c)} \kappa_{5_M}^{(c)} e^{(z_1 - z_2)(\zeta_c \bar{z}_1 - (\lambda_c - \zeta_c) \bar{z}_2)}.$$

where λ_ρ is the smallest eigenvalue of the matrix

$$m_\rho = \begin{pmatrix} -q_P & q_S & im^2 q_{z_1} \\ q_S & q_P & im^2 q_{z_2} \\ -im^2 q_{z_1} & -im^2 q_{z_2} & 0 \end{pmatrix}. \quad (5)$$

b, τ and RPV couplings

★ bottom /tau Yukawa:

$$y_{b,\tau} = \pi^2 \left(\frac{m_*}{m} \right)^4 t_{abc} \kappa_{10M}^{(b)} \kappa_{5M}^{(a)} \kappa_{5H}^{(c)} \quad (6)$$

★★ RPV coupling:

$$y_{RPV} = \pi^2 \left(\frac{m_*}{m} \right)^4 t_{abc} \kappa_{10M}^{(b)} \kappa_{5M}^{(a)} \kappa_{5M}^{(c)} \quad (7)$$

As we observe the flux dependence is hidden on the **normalization factors**.

Normalization factors

★ fixed by imposing canonical kinetic terms

$$1 = 2m_*^4 \|\vec{v}^{(e)}\|^2 \int (\chi^{(e)})_i^* \chi_i^{(e)} d\text{Vol}_S$$

★★ partial results...

$$|\kappa_{10M}^{(b)}|^2 = -4\pi g_s \sigma^2 \cdot \frac{q_P(b)(-2\lambda_b + q_P(b)(1 + \zeta_b^2))}{\lambda_b(1 + \zeta_b^2) + m^4}$$

$$|\kappa_{5H}^{(c)}|^2 = -4\pi g_s \sigma^2 \cdot \frac{2(q_P(c) + \zeta_c)(q_P(c) + 2\zeta_c - 2\lambda_c) + (q_S(c) + \lambda_c)^2}{\zeta_c^2 + (\lambda_c - \zeta_c)^2 + m^4},$$

where $\sigma = (m/m_{st})^2$, with m_{st} the string scale .

Numerical analysis

★ The couplings can be written as :

$$y_{b,\tau} = 2g_s^{1/2} \sigma y'_{b,\tau}$$

$$y_{RPV} = 2g_s^{1/2} \sigma y'_{RPV}$$

★★ five parameters - N_a , N_b , M , N_Y and \tilde{N}_Y

★★★ **constraint:** elimination of Higgs colour triplets \implies (Font & Ibanez et al.)

$$N_b = N_a - \frac{1}{3}N_Y$$

★★★ At the GUT scale $Y_\tau/Y_b = 1.37 \pm 0.1 \pm 0.2$ (G.Ross & M. Serna 2008)

$$Y_\tau / Y_b$$

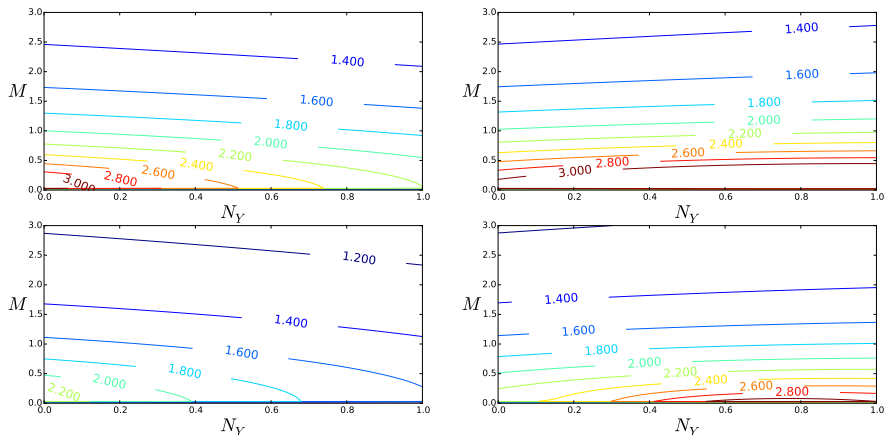


Figure : Ratio between bottom Yukawa and tau Yukawa couplings, shown as contours in the plane of local fluxes. The requirement for chiral matter and absence of coloured Higgs triplets fixes $N_b = N_a - \frac{1}{3} N_Y$

RPV (in absence of N_Y and \tilde{N}_Y)

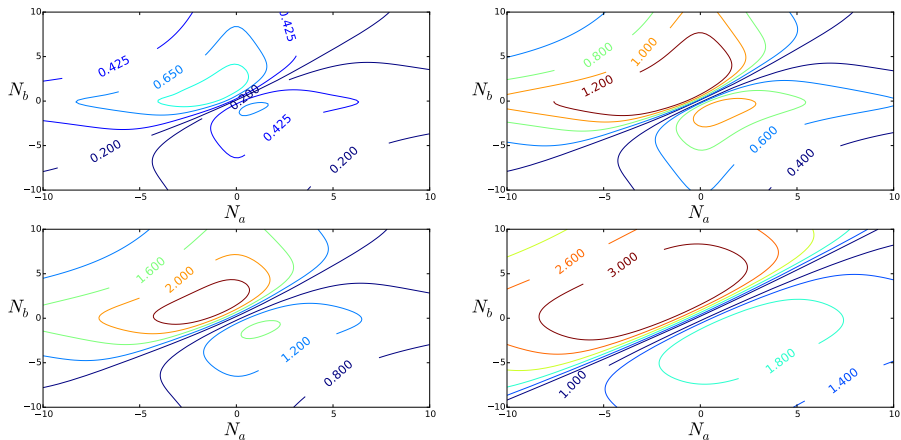
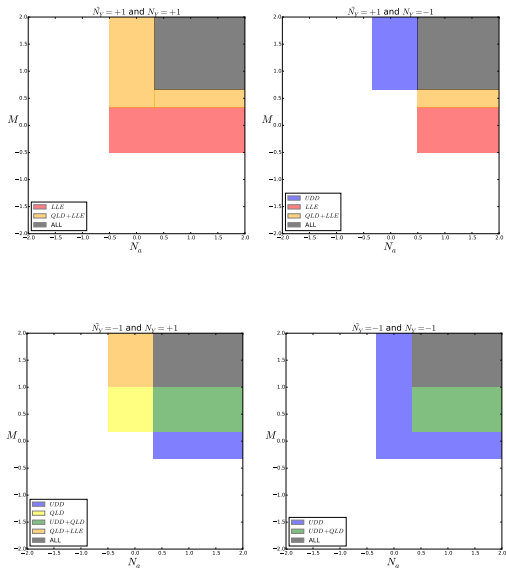


Figure : Dependency of the RPV coupling (in units of $2g_s^{1/2}\sigma$) on the (N_a, N_b) -plane, in absence of hypercharge fluxes and for different values of M . Top: left $M = 0.5$, right $M = 1.0$. Bottom: left $M = 2.0$, right $M = 3.0$.

RPV allowed regions



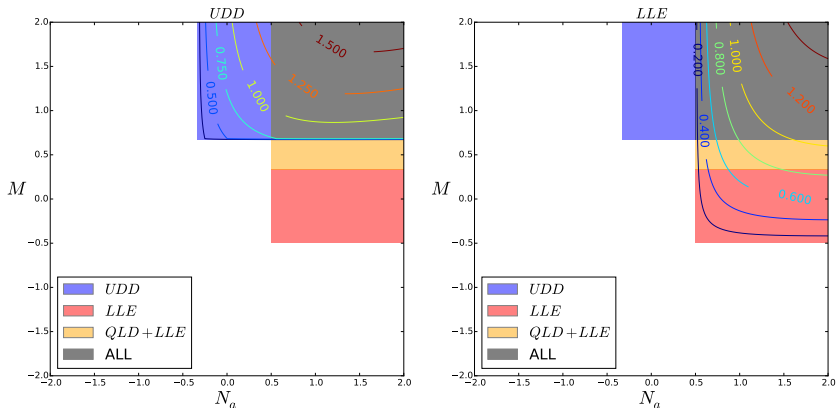


Figure : Allowed regions in the parameter space for different RPV couplings with $\tilde{N}_Y = -N_Y = 1$. We have also include the corresponding contours for the $u^c d^c d^c$ operator (left) and LLe^c (right).

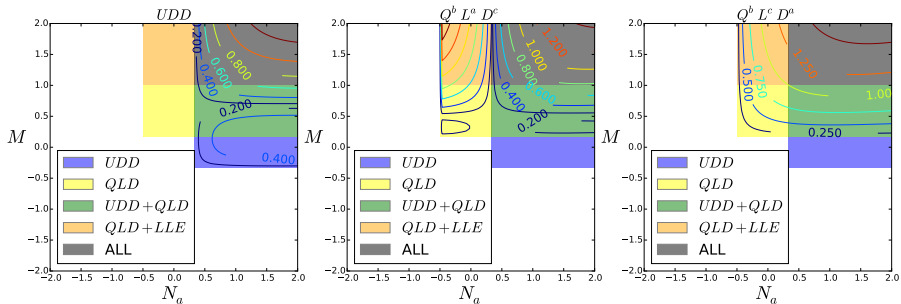


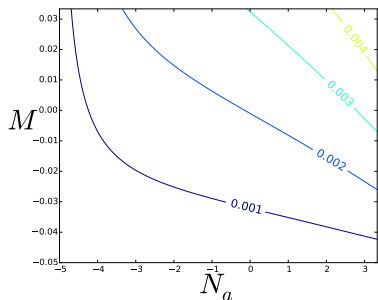
Figure : Allowed regions in the parameter space for different RPV couplings with $N_Y = -\tilde{N}_Y = 1$. We have also include the corresponding contours for the $u^c d^c d^c$ operator (left) and QLd^c (middle and right). The scripts a, b and c refer to which sector each state 'lives'.

Bounds

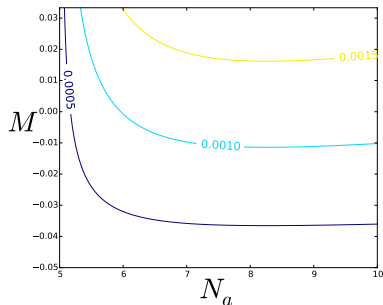
★ partial results in (Allanach, Dedes & Dreiner 1999)

ijk	λ_{ijk}	λ'_{ijk}	λ''_{ijk}
111	-	1.5×10^{-4}	-
112	-	6.7×10^{-4}	4.1×10^{-10}
113	-	0.0059	1.1×10^{-8}
121	0.032	0.0015	4.1×10^{-10}
122	0.032	0.0015	-
123	0.032	0.012	1.3×10^{-7}
131	0.041	0.0027	1.1×10^{-8}
132	0.041	0.0027	1.3×10^{-7}
133	0.0039	4.4×10^{-4}	-
211	0.032	0.0015	-
212	0.032	0.0015	(1.23)
213	0.032	0.016	(1.23)
231	0.046	0.0027	(1.23)
232	0.046	0.0028	(1.23)
233	0.046	0.048	-
311	0.041	0.0015	-
312	0.041	0.0015	0.099
313	0.0039	0.0031	0.015
321	0.046	0.0015	0.099
322	0.046	0.0015	-
333	-	0.091	-

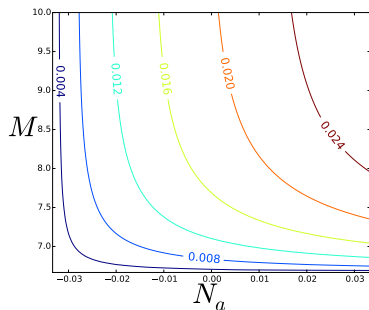
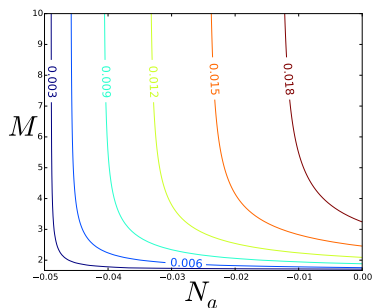
★ For $\tan\beta = 5$, $y_b(M_{GUT}) \simeq 0.03$.



(a) λLLe^c region with $N_Y = 10$, $\tilde{N}_Y = 0.1$



(b) λLLe^c region with $N_Y = -10$, $\tilde{N}_Y = 0.1$



(c) $\lambda' QLd^c$ region with $N_Y = 0.1$, $\tilde{N}_Y = -10$ (d) $\lambda'' u^c d^c d^c$ region with $N_Y = -0.1$, $\tilde{N}_Y = -10$

Summary

- * \mathcal{R} - parity violation (RPV) in semi-local \mathcal{F} -theory SU(5) models is a generic feature without Proton Decay.
- * RPV couplings in local \mathcal{F} -theory SU(5) models can be study in a $SO(12)$ point of enhancement.
- * At the GUT scale may be naturally suppressed over large regions of the parameter space.
- * LLe^c and $u^c d^c d^c$ (especially with the heaviest generations) type of RPV interactions from \mathcal{F} -theory are expected to be within current bounds.
- * Study of other cases where generations resides in the same matter curve or mixed (2+1) cases.

Thank you !

back up

★ For the **bottom** and **tau** coupling we have:

$$\begin{aligned}y_{b,\tau} &= m_*^4 t_{abc} \int_S \det(\vec{\psi}_{10M}^{(b)hol}, \vec{\psi}_{5M}^{(a)hol}, \vec{\psi}_{5H}^{(c)hol}) d\text{Vol}_S \\ &= m_*^4 t_{abc} \det(\vec{v}^{(b)}, \vec{v}^{(a)}, \vec{v}^{(c)}) \int_S \chi_{10M}^{(b)hol} \chi_{5M}^{(a)hol} \chi_{5H}^{(c)hol} d\text{Vol}_S.\end{aligned}$$

★★ A similar formula can be written down for the **RPV** coupling:

$$\begin{aligned}y_{RPV} &= m_*^4 t_{abc} \int_S \det(\vec{\psi}_{10M}^{(b)hol}, \vec{\psi}_{5M}^{(a)hol}, \vec{\psi}_{5'M}^{(c)hol}) d\text{Vol}_S \\ &= m_*^4 t_{abc} \det(\vec{v}^{(b)}, \vec{v}^{(a)}, \vec{v}^{(c)}) \int_S \chi_{10M}^{(b)hol} \chi_{5M}^{(a)hol} \chi_{5M}^{(c)hol} d\text{Vol}_S.\end{aligned}$$

★★★ t_{abc} is a group factor. Computing the Integral we have

flux constraints

The presence of a chiral state in a sector with root ρ is given if $\det m_\rho > 0$. Depending on the sign of N_Y , the above conditions define different regions of the flux density parameter space.

	$M < \frac{\tilde{N}_Y}{3}$	$\frac{\tilde{N}_Y}{3} < M < \frac{-\tilde{N}_Y}{6}$	$\frac{-\tilde{N}_Y}{6} < M < -\tilde{N}_Y$	$-\tilde{N}_Y < M$
$(N_a - N_b) < \frac{-N_Y}{2}$	None	None	None	None
$\frac{-N_Y}{2} < (N_a - N_b) < \frac{N_Y}{3}$	None	None	QLd^c	QLd^c, LLe^c
$\frac{N_Y}{3} < (N_a - N_b)$	None	$u^c d^c d^c$	$QLd^c, u^c d^c d^c$	All

Table : Regions of the parameter space and the respective RPV operators supported for $\tilde{N}_Y \leq 0$, $N_Y > 0$